



SHEET 1 OF 2

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ATC-001

APPLICANT(S): Beck et al.

SERIAL NO.: 09/879,838

FILING DATE: June 12, 2001 GROUP: 2122

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U.S. PATENT DOCUMENTS

EXAM. INIT.			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		AA	6,151,701	11/21/00	Humphreys et al.	717	4	09/28/98
		AB	6,149,318	11/21/00	Chase et al.	395	704	04/15/97
		AC	6,145,121	11/07/00	Levy et al.	717	4	04/17/98
		AD	6,139,198	10/31/00	Danforth et al.	395	704	10/03/97
		AE	6,119,247	09/12/00	House et al.	714	38	06/22/98
		AF	6,118,940	09/12/00	Alexander, III et al.	395	704	11/25/97
		AG	6,106,572	08/22/00	Halpern	717	4	03/25/98
	↓	AH	6,085,029	07/04/00	Kolawa et al.	395	183.14	08/21/96
SR		AI	6,061,518	05/09/00	Hoffman	395	704	11/25/97
		AJ	6,058,393	05/02/00	Meier et al.	707	10	02/23/96
		AK	6,042,614	03/28/00	Davidson et al.	717	4	01/09/98
		AL	6,026,237	02/15/00	Berry et al.	395	704	11/03/97
		AM	6,026,234	02/15/00	Hanson et al.	395	704	03/19/97
		AN	5,948,113	09/07/99	Johnson et al.	714	38	04/18/97
		AO	5,901,315	05/04/99	Edwards et al.	395	704	06/13/97
		AP	5,896,536	04/20/99	Lindsey	395	704	05/01/96
		AQ	5,845,125	12/01/98	Nishimura et al.	395	704	02/10/97
		AR	5,778,230	07/07/98	Wimble et al.	395	704	11/13/95
	↓	AS	5,581,696	12/03/96	Kolawa et al.	395	183.14	05/09/95

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SHEET 1 OF 1

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FORM PTO - 1449				ATTORNEY DOCKET NO.: ATC-001					
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				APPLICANTS: Beck et al.					
				SERIAL NO.: 09/879,838					
				FILING DATE: June 12, 2001 GROUP: 2122 2124					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
		AT	4,821,220	11-Apr-89	Duisberg			25-Jul-86	
		AU	5,307,499	26-Apr-94	Yin			20-May-93	
		AV	5,459,868	17-Oct-95	Fong			14-Dec-94	
SR		AW	5,475,843	12-Dec-95	Halviatti et al.			21-Oct-93	
		AX	5,732,273	24-Mar-98	Srivastava et al.			3-Jan-97	
		AY	5,740,441	14-Apr-98	Yellin et al.			20-Dec-95	
		AZ	5,815,718	29-Sep-98	Tock			30-May-96	
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
SR	BA	WO 96/12224	25-Apr-96	PCT			12-May-95	Y	Y
SR	BB	DE 296 00 609 U I	27-Mar-97	DE			17-Jan-96		N
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
SR	CA	Rundensteiner, E.A., "Design Tool Integration Using Object-Oriented Database Views," IEEE, 1993, pp. 104-107.							
SR	CB	Epstein et al., "Educator's Symposium-Introducing Object-orientedness into a Breadth-first Introductory Curriculum," OOPSLA 1992, Addendum to the Proceedings, Vancouver, British Columbia, Canada, 5-10 October 1992, pp. 293-298.							
EXAMINER <i>Satish Ramprasad</i>					DATE CONSIDERED 6/25/04				



SHEET OF

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: ATC-001 APPLICANT(S): Beck, et al. SERIAL NO.: 09/879,838 FILING DATE: June 12, 2001 GROUP: 2122 2124					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
SR	AAA	5,987,249	11/16/99	Grossman, et al.		395	704	8/21/97	
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FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
SR	CC	Parthasarathy, et al., "NetProf: Network-based High-level Profiling of Java Bytecode", Technical Report 622, May 1996 pp. 1-14.							
SR	CD	Anonymous, "Jikes Bytecode Toolkit," Internet, March 31, 2000.							
SR	CE	Lee, et al., "BIT: A Tool for Instrumenting Java Bytecodes," University of Colorado, Department of Computer Science, August 12, 1997, pp. 73-82.							
EXAMINER <i>Satish Ramph</i>					DATE CONSIDERED 6/25/04				

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SHEET 1 OF 3

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ATC-001

APPLICANT(S): Beck, et al.

SERIAL NO.: 09/879,838

FILING DATE: June 12, 2001

GROUP: 2122 212

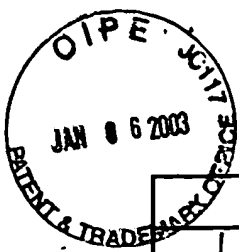
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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		A1	5,062,055	10/29/91	Chinnaswamy, et al.	364	513	5/29/90
		A2	5,101,348	3/31/92	Arrowood, et al.	395	200	6/23/88
		A3	5,161,116	11/3/92	Schneider, et al.	364	551.01	2/27/89
		A4	5,367,473	11/22/94	Chu, et al.	364	551.01	11/26/91
		A5	5,375,070	12/20/94	Hershey, et al.	364	550	3/1/93
		A6	5,432,932	7/11/95	Chen, et al.	395	650	10/23/92
		A7	5,450,406	9/12/95	Esaki, et al.	370	60.1	4/20/94
		A8	5,459,837	10/17/95	Frank S. Caccavale	395	184.01	4/21/93
		A9	5,475,625	12/12/95	Rainer Glaschick	395	600	11/17/94
✓		A10	5,483,468	1/9/96	Chen, et al.	364	551.01	10/23/92
SR		A11	5,491,791	2/13/96	Glowny, et al.	395	183.13	1/13/95
		A12	5,504,921	4/2/96	Dev, et al.	395	800	5/16/94
		A13	5,506,955	4/9/96	Chen, et al.	395	183.02	12/1/94
		A14	5,513,126	4/30/96	Harkins, et al.	364	514 A	10/4/93
		A15	5,553,235	9/3/96	Chen, et al.	395	182.18	5/1/95
		A16	5,555,191	9/10/96	George Hripcsak	364	514 R	10/12/94
		A17	5,572,672	11/5/96	Dewitt, et al.	395	184.01	7/12/95
		A18	5,581,482	12/3/96	Wiedenman, et al.	364	551.01	4/26/94
		A19	5,586,254	12/17/96	Kondo, et al.	395	200.1	2/16/93
		A20	5,615,135	3/25/97	Waclawsky, et al.	364	551.01	6/1/95
		A21	5,616,904	4/1/97	Alberto J. Fernadez	235	449	4/12/95
		A22	5,619,656	4/8/97	Lars O. Graf	395	200.11	5/5/94
		A23	5,621,663	4/15/97	Lennart Skagerling	364	550	4/26/95
✓		A24	5,634,009	5/27/97	Iddon, et al.	395	200.11	10/27/95



	A25	5,636,344	6/3/97	Simon C.R. Lewis	395	200.11	8/22/91
	A26	5,668,944	9/16/97	Robert Francis Berry	395	184.01	9/6/94
	A27	5,675,510	10/7/97	Coffey, et al.	364	514 A	6/7/95
	A28	5,684,945	11/4/97	Chen et al.	395	182.18	4/16/96
	A29	5,696,486	12/9/97	Poliquin, et al.	340	506	11/16/95
	A30	5,696,701	12/9/97	Burgess, et al.	364	551.01	7/12/96
	A31	5,699,403	12/16/97	U. George Ronnen	379	32	4/12/95
	A32	5,748,098	5/5/98	Andrew Grace	340	825.16	10/2/95
	A33	5,752,062	5/12/98	Gover, et al.	355	800	10/2/95
	A34	5,758,071	5/26/98	Burgess, et al.	395	200.5	7/12/96
	A35	5,796,663	8/18/98	Park, et al.	365	200	12/12/96
	A36	5,799,154	8/25/98	George W. Kuriyan	395	200.53	6/27/96
	A37	5,819,028	10/6/98	Manghirmalani, et al.	395	185.1	4/16/97
	A38	5,850,388	12/15/98	Anderson, et al.	370	252	10/31/96
	A39	5,923,834	7/13/99	Thieret, et al.	395	183.01	6/17/96
↓	A40	5,956,662	9/21/99	Hemker, et al.	702	182	
SR	A41	5,974,237	10/26/99	Shurmer, et al.	395	200.54	12/18/96
↓	A42	5,974,457	10/26/99	Waclawsky, et al.	709	224	12/23/93
	A43	5,991,881	11/23/99	Conklin, et al.	713	201	11/8/96
	A44	6,044,335	3/28/00	Sergey Ksendzov	702	182	12/23/97
	A45	6,058,102	5/2/00	Drysdale, et al.	370	252	11/6/98
	A46	6,073,089	6/6/00	Baker, et al.	702	185	10/22/97
	A47	6,108,800	8/22/00	Manjari Asawa	714	47	2/10/98
	A48	6,115,393	9/5/00	Engel, et al.	370	469	7/21/95
	A49	6,119,074	9/12/00	Jagannathan Sarangapani	702	185	5/20/98
	A50	6,161,200	12/12/00	Rees, et al.	714	38	3/2/98
	A51	6,167,398	12/26/00	Wyard, et al.	707	5	
	A52	6,182,022 B1	1/30/01	Mayle, et al.	702	182	1/26/98
	A53	6,216,119B1	4/10/01	Robert J. Jannarone	706	26	11/19/97
	A54	6,263,298B1	7/17/01	Kerman, et al.	702	186	8/13/98
	A55	6,269,401 B1	7/31/01	Fletcher, et al.	709	224	
	A56	6,327,550 B1	12/4/01	Vinberg, et al.	702	186	3/5/01
↓	A57	6,327,677 B1	12/4/01	Garg. et al.	714	37	4/27/98

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	A58	6,359,976 B1	3/19/02	Kalyanpur, et al.	379	134	9/14/99
	A59	6,377,907 B1	4/23/02	Anthony C. Wacławski	702	182	11/17/99
	A60	6,381,306 B1	4/30/02	Lawson, et al.	379	32.01	6/8/98
↓	A61	6,405,250 B1	6/11/02	Lin, et al.	709	224	1/25/99
SK	A62	US2002/00901 34 A1	7/11/02	Cornelis Van Zon	382	181	
	A63	US2002/01238 65 A1	9/5/02	Whitney, et al.	702	189	1/26/01
↓	A64	US2002/01523 04 A1	10/17/02	Carlos M. Collazo	709	224	10/26/01

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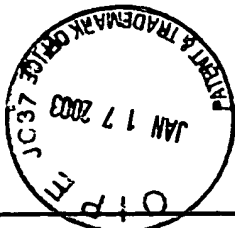
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)							

EXAMINER	Satish Ramprasad	DATE CONSIDERED	6/25/04
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FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.: ATC-001	JAN 21 2003
	APPLICANT(S): Beck, et al.	Technology Center 2100
	SERIAL NO.: 09/879,838	
	FILING DATE: June 12, 2001	GROUP: <u>2122-2741</u>

U.S. PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	CF	Thottan, et al., "Adaptive Thresholding for Proactive Network Problem Detection," Rensselaer Polytechnic Institute, Department of Electrical, Computer, and Systems Engineering.
	CG	Hellerstein, et al., "Characterizing Normal Operation of a Web Server: Application to Workload Forecasting and Problem Detection," Proceedings of the Computer Measurement Group, 1998.
	CH	Peterson, et al., "Fractal Patterns in DASD I/O Traffic."
	CI	Grummitt, Adam, "Automated Performance Management Advice Using Computational Intelligence," Metron Technology Limited.
	CJ	Buzen, et al., "MASF - Multivariate Adaptive Statistical Filtering," BGS Systems, Inc.
	CK	Wheeler, Robert E., "Quantile Estimators of Johnson Curve Parameters," E.I. du Pont de Nemours & Co.
	CL	Chou, et al., "Transforming Non-Normal Data to Normality in Statistical Process Control," Journal of Quality Technology, 1998, Vol. 30, No. 2.
	CM	Shapiro, Samuel S., "How to Test Normality and Other Distributional Assumptions," American Society for Quality Control, Statistics Division, 1990.
	CN	DataMyte Handbook, "Non-Normal Distributions, Johnson Transformations," DataMyte Business, Allen-Bradley Company, Inc., Chapter 6, 1995.
	CO	Gunther, Neil J., "The Practical Performance Analyst - Performance-By-Design Techniques for Distributed Systems," 1998, p. 12-15.



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SHEET 2 OF 2

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	CP	Walpole, et al., "Probability and Statistics for Engineers and Scientists," 1998, P. 213-215, 340-343.
	CQ	DataMyte Handbook, "Control Charts," Chapter 2; "Constants for Calculating Control Limits," Appendix-Table A-1, DataMyte Business, Allen-Bradley Company, Inc., 1987.
	CR	Gilchrist, Warren G., "Statistical Modeling With Quantile Functions," chapter 1 – An Overview, p. 1-17; chapter 2- Describing a Sample, p. 43-50, 2000.
SR	CS	Press, et al., "Numerical Recipes in C," Second Edition, chapter 6- Special Functions, p.212-222; chapter 14- Statistical Description of Data – Nonparametric or Rank Correlation, p. 639-642, 1992.
	CT	Evans, et al., "Statistical Distributions," Third Edition, chapter 19- Gamma Distribution, p. 98-105, 2000.
	CU	Papoulis, Athanasios, "Probability, Random Variables, and Stochastic Processes," chapter 5 – Functions of One Random Variable, p. 116-127, 1965.
	CV	Han, et al., "Data Mining Concepts and Techniques," chapter 8- Cluster Analysis, p. 335-393, 2001.
EXAMINER <i>Satish Ram</i>		DATE CONSIDERED 6/25/04

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SHEET 1 OF 4

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ATC-001

APPLICANT(S): Beck, et al.

SERIAL NO.: 09/879,838

FILING DATE: June 12, 2001

GROUP: 2122 ²¹²⁴

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		A65	5,598,429	1/28/97	Kenneth E. Marshall	375	210	7/15/94
		A66	5,615,323	3/25/97	Engel, et al.	395	140	3/12/96
		A67	5,655,081	8/5/97	Bonnell, et al.	395	200.32	3/8/95
		A68	5,661,668	8/26/97	Yemini, et al.	364	550	7/12/96
		A69	5,802,256	9/1/98	Heckerman, et al.	395	75	5/23/97
		A70	5,870,540	2/9/99	Wang, et al.	395	183.19	11/20/95
		A71	5,978,594	11/2/99	Bonnell, et al.	395	837	3/6/97
		A72	6,021,437	2/1/00	Chen, et al.	709	224	7/14/97
	↓	A73	6,028,842	2/22/00	Chapman, et al.	370	235	12/23/96
	8x	A74	6,067,412	5/23/00	Blake, et al.	395	672	8/17/95
		A75	6,072,777	6/6/00	Bencheck, et al.	370	244	6/28/96
		A76	6,076,083	6/13/00	Michelle Baker	706	52	8/21/96
		A77	6,081,797	6/27/00	Ben A. Hitt	706	25	7/9/97
		A78	6,118,936	9/12/00	Lauer, et al.	395	200.53	4/18/96
		A79	6,138,122	10/24/00	Smith, et al.	707	103	3/2/98
		A80	6,154,736	11/28/00	Chickering, et al.	706	59	7/30/97
		A81	6,182,157 B1	1/30/01	Schlener, et al.	709	318	9/19/96
		A82	6,222,652 B1	4/24/01	Kim Byron Roberts	359	110	6/20/97
		A83	6,249,755	6/19/01	Yemini, et al.	702	183	7/15/97
		A84	6,320,585	11/20/01	Engel, et al.	345	440	3/24/97
	↓	A85	US 2001/0052087 A1	12/13/01	Garg, et al.	714	37	4/27/98



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SHEET 2 of 4

MAR 25 2003

	A86	US 2002/0012011 A1	1/31/02	Roytman, et al. Technology Center 2100	345	736	12/4/98
	A87	US 2002/0019870 A1	2/14/02	Chirashnya, et al.	709	224	6/28/01
	A88	US 2002/0049838 A1	4/25/02	Sylor, et al.	709	224	6/21/01
	A89	US 2002/0054169 A1	5/9/02	David E. Richardson	345	854	8/6/01
	A90	US 2002/0095661 A1	7/18/02	Angel, et al.	717	130	9/12/01
	A91	US 2002/0111755 A1	8/15/02	Valadarsky, et al.	702	58	10/17/01
	A92	6,446,058	9/3/02	Abby H. Brown	706	60	4/26/99
↓	A93	6,453,346 B1	9/17/02	Garg, et al.	709	224	7/17/98
SR	A94	US 2002/0133757 A1	9/19/02	Bertram, et al.	714	47	2/23/99
	A95	6,466,929	10/15/02	Brown, et al.	706	48	11/12/99
	A96	US 2002/0152185 A1	10/17/02	Nanjunda Swamy Satish Jamadagni	706	1	12/28/01
	A97	US 2002/0152305 A1	10/17/02	Jackson, et al.	709	224	1/30/02
	A98	6,470,464	10/22/02	Bertram, et al.	714	37	2/23/99
	A99	US 2002/0158918 A1	10/31/02	Feibush, et al.	345	853	3/27/02
	A100	US 2002/0159386 A1	10/31/02	Grosdidier, et al.	370	229	4/30/01
	A101	US 2002/0165892 A1	11/7/02	Grumann, et al.	709	100	5/3/01
✓	A102	US 2002/0169870 A1	11/14/02	Vosseler, et al.	709	224	5/10/01



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SHEET 3 of 4

MAR 25 2003

	A103	6,484,129	11/19/02	Klein, et al.	702	186	11/27/01
	A104	US 2002/0173997 A1	11/21/02	Menard, et al.	705	7	3/30/01
	A105	US 2002/0174174 A1	11/21/02	Ramraj, et al.	709	203	4/13/01
	A106	US 2002/0183972 A1	12/5/02	Enck, et al.	702	186	6/1/01
	A107	US 2002/0184065 A1	12/5/02	Menard, et al.	705	7	4/2/02
	A108	US 2002/0184615 A1	12/5/02	Sumner, et al.	717	130	4/25/01
	A109	US 2002/0198879 A1	12/26/02	Lawrence R. Schwarcz	707	10	5/11/01
	A110	US 2002/0198985 A1	12/26/02	Fraenkel, et al.	709	224	10/19/01
SR	A111	US 2003/0005362 A1	1/2/03	Miller, et al.	714	27	6/29/01
	A112	6,505,246 B1	1/7/03	Land, et al.	709	224	12/30/98
	A113	US 2003/0009507 A1	1/9/03	Annie Shum	709	104	6/29/01
	A114	US 2003/0014692 A1	1/16/03	James, et al.	714	25	3/6/02
	A115	US 2003/0018241 A1	1/23/03	Paul D. Mannheimer	600	300	7/19/01
	A116	US 2003/0018494 A1	1/23/03	Bronstein, et al.	705	2	6/14/01
	A117	6,513,065 B1	1/28/03	Hafez, et al.	709	224	3/4/99
	A118	US 2003/0023712 A1	1/30/03	Zhao, et al.	709	223	3/29/02



	A119	US 2003/0028631 A1	2/6/03	N. Lee Rhodes	709	224	7/31/01		
SB	A120	US 2003/0033404 A1	2/13/03	David E. Richardson	709	224	8/9/01		
FOREIGN PATENT DOCUMENTS									
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OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER <i>Satish Ramph</i>					DATE CONSIDERED <i>6/25/07</i>				

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